



IFW

Attorney Docket No. 04163/LH

**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Applicant(s): T. ONISHI et al  
Serial No. : 10/798,755  
Filed : March 10, 2004  
For : LUMP MANAGEMENT APPARATUS  
Art Unit : 2184  
Examiner : Not Yet Assigned

**INFORMATION DISCLOSURE STATEMENT  
WITH STATEMENT UNDER 37 CFR 1.97(e)  
AND STATEMENT UNDER 37 CFR 1.704(d)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

S I R :

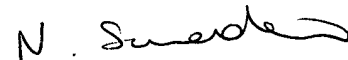
Submitted herewith are the following:

- (1) Copy of a Taiwanese Office Action dated June 17, 2004  
(and English translation thereof) issued in the  
counterpart Taiwanese application;
- (2) Copy of the cited publication; and
- (3) Form PTO/SB/08A. It is requested that an initialed  
copy of the Form PTO/SB/08A be returned to indicate  
that the publication listed therein has been considered  
and made of record.

An English translation of said Taiwanese Office Action is  
provided, thereby satisfying the requirements for a concise  
explanation of relevance for any non-English language  
publications cited therein (MPEP 609 III A(3)).

**CERTIFICATE OF MAILING**

I hereby certify that this  
correspondence is being  
deposited with the United  
States Postal Service with  
sufficient postage as First  
Class mail in an envelope  
addressed to: Commissioner for  
Patents, P.O. Box 1450  
Alexandria, VA 22313-1450  
on the date noted below.

  
Nalini P. Sahadeo

Dated: July 12, 2004

In the event that this Paper is late filed, and the  
necessary petition for extension of time is not filed  
concurrently herewith, please consider this as a Petition  
for the requisite extension of time, and to the extent not  
tendered by check attached hereto, authorization to  
charge the extension fee, or any other fee  
required in connection with this Paper  
to Account No. 06-1378.

**STATEMENT UNDER 37 CFR 1.97(e) (1)**

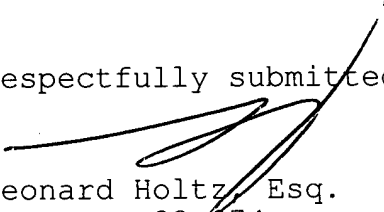
Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the present Information Disclosure Statement. Said Communication bears a mailing date of June 17, 2004. Therefore, the filing of this Information Disclosure Statement is timely under the provisions of 37 CFR 1.97(e) and does not require a fee.

**STATEMENT UNDER 37 CFR 1.704(d)**

Each item of information contained in this Information Disclosure Statement was cited in said communication from a foreign patent office in a counterpart application, and this communication was not received by any individual designated in \$1.56(c) more than thirty days prior to the filing of the present Information Disclosure Statement.

It is respectfully requested that the attached publication be considered and made of record.

Respectfully submitted,

  
Leonard Holtz Esq.  
Reg. No. 22,974

Encls.

Dated: July 12, 2004

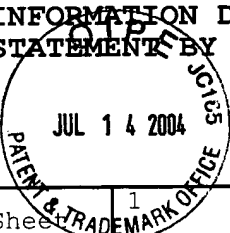
Frishauf, Holtz, Goodman & Chick, P.C.  
767 Third Avenue, 25th Floor  
New York, New York 10017-2023  
Tel. No. (212) 319-4900  
Fax. No. (212) 319-5101  
LH/nps

Please type a plus sign (+) inside this box →

+

PTO/SB/08A (08-00)

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for Form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  		Application Number	10/798,755
		Filing Date	March 10, 2004
		First Named Inventor	T. ONISHI
		Group Art Unit	2184
		Examiner Name	Not Yet Assigned
Sheet 1 of 1	Attorney Docket Number	04163/LH	

## U.S. PATENT DOCUMENTS

Exam. Inits*	Cite No <sup>1</sup>	Document Number	Kind Code <sup>2</sup>	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion

## FOREIGN PATENT DOCUMENTS

Exam Inits*	Cite No <sup>1</sup>	Offc <sup>3</sup>	Document Number <sup>4</sup>	Kind Code <sup>5</sup>	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion	T <sup>6</sup>
	A	TW	451146			08-21-2001		

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Place a check here if English translation is attached.

DATE MAILED: July 12, 2004